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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Perricaudet, et al.

Group Art Unit:

Serial No.:

08/397,225

Examiner:

Filed:

28 March 1995

For:

DEFECTIVE ADENOVIRUS VECTORS AND USE THEREOF IN GENE

THERAPY

To:

The Honorable Commissioner of Patents and Trademarks

Washington, D.C. 20231

CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Date: 12 June 1995

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Signature of person mailing paper

INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 CFR §§ 1.97 AND 1.98

Dear Sir:

Applicants submit herewith a list of patents and publications which Applicants believe the Examiner may consider to be material to the patentability of claims of this application and which there may be a duty to disclose in accordance with 37 CFR § 1.56. Each listed reference was cited in the International Search Report, and copies were sent to the USPTO as an Designated Office. Accordingly, no copies are included herewith.

While the patents and publications disclosed herein may be "material" within the meaning of 37 CFR § 1.56, Applicants' inclusion thereof in this Statement is not intended to constitute an admission that any such patent or publication is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR § 1.97 (g) and (h), the filing of this Information Disclosure Statement shall not be construed to mean that a search

has been made or that the information cited is material to patentability as defined in 37 CFR § 1.56(b).

Applicants believe that the claims in the present application are patently distinguishable over the patents and publications listed on the attached Information Disclosure Citation Form.

This Information Disclosure Statement is being filed before the first Office Action on the merits of this application and accordingly, pursuant to 37 CFR § 1.97 (b)(3), this statement requires neither a fee nor a certification.

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Dated: 12 June 1995

Respectfully submitted,

Julie K. Smith

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